

Stocks & Shares ISA Application Form

Teachers Assurance is our brand name and covers Sovereign as well. The unit trust funds we provide are called the Teachers Cautious Investment Fund, Teachers Balanced Investment Fund, Teachers Adventurous Investment Fund and the Sovereign Ethical Fund. The manager of these funds is Sovereign Unit Trust Managers Limited.

Personal details

Title Forename(s) Surname

Address

(Please provide your permanent residential address)

Postcode

Occupation Telephone

Best time to contact Date of birth

email address

Do you have a National Insurance (NI) number? Tick one box Yes No

You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue and Customs, a letter from the DWP, or pension order book.

If yes, enter it here

If you are member of a Trade Union or Professional Association, please state which one

If you already invest in a Sovereign unit trust or ISA, please give account number

I apply to subscribe to a Stocks & Shares ISA for the tax year 20 /20 and each subsequent year until further notice

Please complete the investment details overleaf.

I declare that:

- (i) all subscriptions made, and to be made, belong to me;
- (ii) I am 18 years of age or over,
- (iii) I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and a Stocks & Shares ISA in the same tax year,
- (iv) I have not and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA, and
- (v) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Sovereign Unit Trust Managers Ltd if I cease to be so resident and ordinarily resident, or to perform such duties or be married to or in a civil partnership with a person who performs such duties.

I authorise Sovereign Unit Trust Managers Limited

- (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash,
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments.

I have read the Simplified Prospectus and I agree to the ISA Terms and Conditions. (If you do not understand any of our ISA terms and conditions please ask for further information.)

I declare that this application form has been completed to the best of my knowledge and belief.

Signature 

Date

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Sovereign Unit Trust Managers Ltd
Deansleigh Road
Bournemouth, Dorset BH7 7DT

Name(s) of Account Holder(s)

Bank/Building Society account number

Branch Sort Code

Name and full postal address of your Bank or Building Society

To: the Manager	Bank/Building Society
Address	
Postcode	

Service User Number

9 5 7 6 7 4

Reference

Instruction to your Bank or Building Society

Please pay Sovereign Unit Trust Managers Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that this Instruction may remain with the Sovereign Unit Trust Managers Ltd and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date



This guarantee should be detached and retained by the Payer.



The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Teachers Provident Society Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Teachers Provident Society Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Teachers Provident Society Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Teachers Provident Society Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Stocks & Shares ISA Application - continued

I wish to invest a lump sum of £ _____ I enclose a cheque made payable to "Teachers Assurance".

I wish to invest a monthly amount of £ _____ on the 20th of each month (or next working day) and have completed the separate direct debit mandate overleaf.

For my regular monthly saving please take my first direct debit on 20th _____ month

NAME OF FUND	REGULAR MONTHLY SAVING	LUMP SUM
	Min £50 per Fund	Min £2,000 total and £1,000 per Fund
Cautious	£	£
Balanced	£	£
Adventurous	£	£
Ethical	£	£
Total	£	£

I wish to realise a lump sum of £ _____ from my existing Teachers Assurance unit trust holding. I have completed the Switch Request Form.

I wish to transfer to a Teachers Assurance Stocks & Shares ISA. I have completed the Transfer Form.



Data Protection

Copies of the policy conditions for the plan, and of this application, are available on request.

Your information will be held by Teachers Assurance and added to our marketing databases. It may be used to keep your records up to date, for business analysis and market research purposes and to advise you by post, telephone and/or electronic methods about any products and services that may be of interest to you. We may pass your details to other carefully selected organisations but only for the purposes mentioned above.

If you do not want to receive such marketing information please tick this box

Subject to payment of a fee, you can ask for a copy of the personal information we hold about you by writing to the Data Protection Officer, Teachers Assurance, Tringham House, Bournemouth BH7 7DT. For details of the Teachers group of companies, please refer to our website www.teachersassurance.co.uk.

Teachers Assurance, Tringham House, Deansleigh Road, Bournemouth BH7 7DT Tel: 01202 435000 www.teachersassurance.co.uk

Teachers Assurance is a trading name of Teachers Provident Society Limited (TPS) an incorporated Friendly Society No 372F, authorised and regulated by the Financial Services Authority (FSA). A member of the Association of British Insurers and the Association of Financial Mutuals.

The Teachers Assurance companies, which are authorised and regulated by the FSA, include Teachers Assurance Company Limited No 314801, Teachers Financial Services Limited No 3401635 and Sovereign Unit Trust Managers Limited No 2072297, Teachers Management Services Limited No 3401641 is not a regulated company. All companies are wholly owned subsidiaries of TPS. Registered in England & Wales. To help us continually improve customer service, calls may be monitored and recorded.

No advice has been offered in connection with this plan. If you would like support when completing this application form or more information about the product itself please call our Customer Support line on 0800 056 0563

Lines are open 8.30am - 8pm Monday to Fridays and 9am - 1pm Saturdays